

Practitioner's Docket No. 2004-701

12-10-01

Section PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jules Jakob Vos

Group No.: 2122

Serial No.: 09/895,813

Examiner: Unassigned

Filing Date: 8/29/01

For: Distributed Decision Processing System

Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 8/20/01. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**POWER OF ATTORNEY**

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

**SMALL ENTITY STATUS**

- IV. Applicant claims small entity status. See 37 CFR 1.27.

**COMPLETION FEES**

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$54.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$-0-
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
6. Total completion fees	\$529.00

**EXTENSION OF TIME**

- V. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due: \$-0-

**REQUEST FOR CORRECTED FILING RECEIPT**

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Jules Jakob Vos - Angsteoord 1, 1391 EB Abcoude, the Netherlands <i>Inventor's name was misspelled and residence was missing from original filing</i>
2. Priority Claim	-
3. Entity status	-

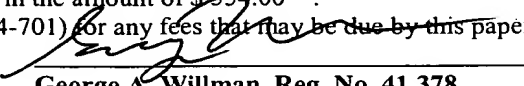
At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

**TOTAL FEE DUE**

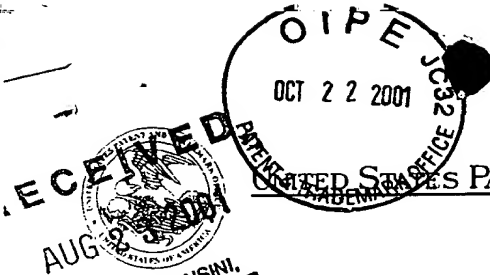
- VII. The total fee due is: Completion fees \$ 529.00 Extension of Time Fees \$-0- Corrected Filing Receipt Fees \$25.00  
**TOTAL FEE DUE \$554.00**

**PAYMENT OF FEES**

- VIII. Charge Account No. 23-2415 (27224-701) in the amount of \$ 554.00  
Please charge Account No. 23-2415 (27224-701) for any fees that may be due by this paper.

  
George A. Willman, Reg. No. 41,378

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971



DEDE WISE / 27224-701  
GAW  
Page 1 of 1

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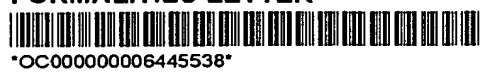
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,813	06/29/2001	Jules Jacob Vos	27224-701

CONFIRMATION NO. 1596

FORMALITIES LETTER



\*OC00000006445538\*

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. **370**  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$108.
  - \$108 for 6 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 948.

A copy of this notice **MUST** be returned with the reply.

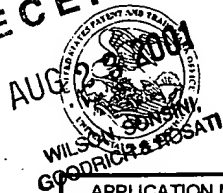
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/25/2001 TADRONAS 00000066 232415 09895813

01 FD:001	370.00 CH
02 FD:002	65.00 CH
03 FD:003	54.00 CH

WSGR PATENT DOCKET	
U.S.: <input checked="" type="checkbox"/>	FOREIGN: <input type="checkbox"/>
DOCKETED: 8/23/01	BY: D.P.
ACTION: <u>US HSG PARTS</u>	
DUE DATES: <u>DUE: 10/20/01</u>	
<u>FINAL: 2/20/02</u>	
ATTY: <u>GAW</u> CM #: <u>27224-701</u>	

RECEIVED



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/895,813	06/29/2001	2122	0.00	27224-701	24	26	3

CONFIRMATION NO. 1596

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

FILING RECEIPT



\*OC000000006445537\*

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jules Jacob Vos, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/17/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Distributed decision processing system

Preliminary Class

706

DOCKETED<sub>DP</sub>

Data entry by : AMBERBER, TESFAYE

Team : OIPE

Date: 08/20/2001



# SMALL ENTITY STATUS INQUIRY FORM

# 3

37 CFR. 1.9(f) & 1.27(c)

**Docket Number:** 27224-701  
**Applicant, Patentee, or Identifier:** Jules Jacob Vos  
**Application or Patent No.:** Application No. 09/895,813  
**Filed or Issued:** June 29, 2001  
**Title:** Distributed Decision Processing System  
**Name of Business Concern:** DecideWise International B.V.  
**Address of Business Concern:** Paasheuvelweg 15  
1105 BE Amsterdam  
Netherlands

The U.S. Patent and Trademark Office (USPTO) provides for reduced fees for business concerns qualifying as small business entities. Please review the following to determine whether or not your company qualifies as a small business entity. According to USPTO regulations, a small business entity is a business concern whose total number of employees does not exceed 500 persons.

The number of employees of the business concern mentioned above, including those of its affiliates, does not exceed 500 persons: ☒ Yes or ☐ No. Total number of employees of the business concern: 16. For purposes of this inquiry (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

Rights under contract or law have been conveyed to and remain with the business concern identified above with regard to the invention described in the above identified patent application:

☒ Yes or ☐ No. If rights have been conveyed to another entity, does that entity have 500 employees or fewer? ☐ Yes or ☐ No. ☒ N/A

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

NAME OF PERSON SIGNING

J.J. Vos

SIGNATURE

DATE

20-Sept-2001